

Please type a plus sign (+) inside this box →



PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0047

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under
37 CFR 1.53(b))

Attorney Docket Number

4786

First Named Inventor

Lawrence G. Roberts

Title

Parallel Network Processor Array

Express Mail Label No.

EL482478056US

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (in duplicate)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27
3. ☒ Specification Total Pages 33
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference(s) to Related Case(s)
 - Statement Regarding Fed sponsored R & D
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawing(s)
 - Detailed Description
 - Claim or Claims
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets 10
5. Oath or Declaration
 - a. ☒ New Declaration Total Pages 2
 - ☐ Executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ Certified Copy of Priority Document(s) (if foreign priority
is claimed)
9. ☐ Information Disclosure Statement & PTO-1449
☐ Copies of IDS Citation(s)
10. ☐ Preliminary Amendment
11. ☒ Return Postcard
12. ☐
13. ☐
14. ☐
15. ☐
16. ☐

ADDRESS TO:

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ____/____

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or
Attach bar code label here) Or ☒ Correspondence
address below

NAME	Deepti Panchawagh-Jain, Fenwick & West LLP		
ADDRESS	Two Palo Alto Square		
CITY	Palo Alto	STATE	CA
COUNTRY	U.S.A.	TELEPHONE	(650) 858-7676
		FAX	(650) 494-1417

Name (Print/Type)	Deepti Panchawagh-Jain	Registration No. (Attorney/Agent)	43,846
Signature		Date	10/31/00

0002/PTO(modified) Rev. 10/2000	U.S. Department of Commerce Patent and Trademark Office	Complete if Known
FEE TRANSMITTAL TOTAL AMOUNT OF PAYMENT Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$)<u>715.00</u>		Application Number
		Filing Date
		First Named Inventor
		Group Art Unit
		Examiner Name
		Attorney Docket Number
		4786

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																								
1. The Commissioner is hereby authorized to: <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. [†] <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP A Duplicate Copy of this authorization is attached 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Other	3. ADDITIONAL FEES <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee Code/Fee</th> <th style="text-align: left;">Small Entity Fee Code/Fee</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Due</th> </tr> </thead> <tbody> <tr> <td>105/\$130</td> <td>205/\$65</td> <td>Surcharge - late filing fee or oath</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>127/\$50</td> <td>227/\$25</td> <td>Surcharge-late provisional filing fee or cover sheet</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>147/\$2,520</td> <td>147/\$2,520</td> <td>For filing a request for reexamination</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>115/\$110</td> <td>215/\$55</td> <td>Extension for response within first month[†]</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>116/\$390</td> <td>216/\$195</td> <td>Extension for response within second month[†]</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>117/\$890</td> <td>217/\$445</td> <td>Extension for response within third month[†]</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>118/\$1,390</td> <td>218/\$695</td> <td>Extension for response within fourth month[†]</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>128/\$1,890</td> <td>228/\$945</td> <td>Extension for response within fifth month[†]</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>119/\$310</td> <td>219/\$155</td> <td>Notice of Appeal</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>141/\$1,240</td> <td>241/\$620</td> <td>Petition to revive unintentionally abandoned application</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>142/\$1,240</td> <td>242/\$620</td> <td>Utility Issue Fee (Or Reissue)</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>143/\$440</td> <td>243/\$220</td> <td>Design Issue Fee</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>122/\$130</td> <td>122/\$130</td> <td>Petitions to the Commissioner</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>126/\$240</td> <td>126/\$240</td> <td>Submission of Information Disclosure Statement</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>179/\$710</td> <td>279/\$355</td> <td>Request for Continued Examination (RCE)</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>581/\$40</td> <td>581/\$40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>146/\$710</td> <td>246/\$355</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>149/\$710</td> <td>249/\$355</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td colspan="2"></td> <td>Other fee (specify):</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td colspan="2"></td> <td>Other fee (specify):</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (3)</td> <td style="text-align: right;">(\$)<u>0</u></td> </tr> </tbody> </table>	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	105/\$130	205/\$65	Surcharge - late filing fee or oath	<input type="text"/>	127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	<input type="text"/>	147/\$2,520	147/\$2,520	For filing a request for reexamination	<input type="text"/>	115/\$110	215/\$55	Extension for response within first month [†]	<input type="text"/>	116/\$390	216/\$195	Extension for response within second month [†]	<input type="text"/>	117/\$890	217/\$445	Extension for response within third month [†]	<input type="text"/>	118/\$1,390	218/\$695	Extension for response within fourth month [†]	<input type="text"/>	128/\$1,890	228/\$945	Extension for response within fifth month [†]	<input type="text"/>	119/\$310	219/\$155	Notice of Appeal	<input type="text"/>	141/\$1,240	241/\$620	Petition to revive unintentionally abandoned application	<input type="text"/>	142/\$1,240	242/\$620	Utility Issue Fee (Or Reissue)	<input type="text"/>	143/\$440	243/\$220	Design Issue Fee	<input type="text"/>	122/\$130	122/\$130	Petitions to the Commissioner	<input type="text"/>	126/\$240	126/\$240	Submission of Information Disclosure Statement	<input type="text"/>	179/\$710	279/\$355	Request for Continued Examination (RCE)	<input type="text"/>	581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	<input type="text"/>	146/\$710	246/\$355	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>	149/\$710	249/\$355	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>			Other fee (specify):	<input type="text"/>			Other fee (specify):	<input type="text"/>	SUBTOTAL (3)			(\$)<u>0</u>
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due																																																																																						
105/\$130	205/\$65	Surcharge - late filing fee or oath	<input type="text"/>																																																																																						
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	<input type="text"/>																																																																																						
147/\$2,520	147/\$2,520	For filing a request for reexamination	<input type="text"/>																																																																																						
115/\$110	215/\$55	Extension for response within first month [†]	<input type="text"/>																																																																																						
116/\$390	216/\$195	Extension for response within second month [†]	<input type="text"/>																																																																																						
117/\$890	217/\$445	Extension for response within third month [†]	<input type="text"/>																																																																																						
118/\$1,390	218/\$695	Extension for response within fourth month [†]	<input type="text"/>																																																																																						
128/\$1,890	228/\$945	Extension for response within fifth month [†]	<input type="text"/>																																																																																						
119/\$310	219/\$155	Notice of Appeal	<input type="text"/>																																																																																						
141/\$1,240	241/\$620	Petition to revive unintentionally abandoned application	<input type="text"/>																																																																																						
142/\$1,240	242/\$620	Utility Issue Fee (Or Reissue)	<input type="text"/>																																																																																						
143/\$440	243/\$220	Design Issue Fee	<input type="text"/>																																																																																						
122/\$130	122/\$130	Petitions to the Commissioner	<input type="text"/>																																																																																						
126/\$240	126/\$240	Submission of Information Disclosure Statement	<input type="text"/>																																																																																						
179/\$710	279/\$355	Request for Continued Examination (RCE)	<input type="text"/>																																																																																						
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	<input type="text"/>																																																																																						
146/\$710	246/\$355	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>																																																																																						
149/\$710	249/\$355	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>																																																																																						
		Other fee (specify):	<input type="text"/>																																																																																						
		Other fee (specify):	<input type="text"/>																																																																																						
SUBTOTAL (3)			(\$)<u>0</u>																																																																																						
1. FILING FEE <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee Code/Fee</th> <th style="text-align: left;">Small Entity Fee Code/Fee</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Due</th> </tr> </thead> <tbody> <tr> <td>101/\$710</td> <td>201/\$355</td> <td>Utility Filing</td> <td style="text-align: right;"><input type="text" value="355"/></td> </tr> <tr> <td>106/\$320</td> <td>206/\$160</td> <td>Design Filing</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>108/\$710</td> <td>208/\$355</td> <td>Reissue</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>114/\$150</td> <td>214/\$75</td> <td>Provisional Filing</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (1)</td> <td style="text-align: right;">(\$)<u>355</u></td> </tr> </tbody> </table>	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	101/\$710	201/\$355	Utility Filing	<input type="text" value="355"/>	106/\$320	206/\$160	Design Filing	<input type="text"/>	108/\$710	208/\$355	Reissue	<input type="text"/>	114/\$150	214/\$75	Provisional Filing	<input type="text"/>	SUBTOTAL (1)			(\$)<u>355</u>	2. CLAIMS <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee Code/Fee</th> <th style="text-align: left;">Small Entity Fee Code/Fee</th> <th style="text-align: left;">Fee Description</th> </tr> </thead> <tbody> <tr> <td>103/\$18</td> <td>203/\$9</td> <td>Claims in excess of 20</td> </tr> <tr> <td>102/\$80</td> <td>202/\$40</td> <td>Independent claims in excess of 3</td> </tr> <tr> <td>104/\$270</td> <td>204/\$135</td> <td>Multiple dependent claim</td> </tr> <tr> <td>109/\$80</td> <td>209/\$40</td> <td>Reissue independent claims over original patent</td> </tr> <tr> <td>110/\$18</td> <td>210/\$9</td> <td>Reissue claims in excess of 20 and over original Patent</td> </tr> </tbody> </table>	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	103/\$18	203/\$9	Claims in excess of 20	102/\$80	202/\$40	Independent claims in excess of 3	104/\$270	204/\$135	Multiple dependent claim	109/\$80	209/\$40	Reissue independent claims over original patent	110/\$18	210/\$9	Reissue claims in excess of 20 and over original Patent																																														
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due																																																																																						
101/\$710	201/\$355	Utility Filing	<input type="text" value="355"/>																																																																																						
106/\$320	206/\$160	Design Filing	<input type="text"/>																																																																																						
108/\$710	208/\$355	Reissue	<input type="text"/>																																																																																						
114/\$150	214/\$75	Provisional Filing	<input type="text"/>																																																																																						
SUBTOTAL (1)			(\$)<u>355</u>																																																																																						
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description																																																																																							
103/\$18	203/\$9	Claims in excess of 20																																																																																							
102/\$80	202/\$40	Independent claims in excess of 3																																																																																							
104/\$270	204/\$135	Multiple dependent claim																																																																																							
109/\$80	209/\$40	Reissue independent claims over original patent																																																																																							
110/\$18	210/\$9	Reissue claims in excess of 20 and over original Patent																																																																																							
<table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">(Col. 1)</th> <th colspan="2">(Col. 2)</th> <th colspan="2">(Col. 3)</th> <th colspan="2"></th> <th colspan="2"></th> </tr> <tr> <th>For</th> <th>No. of Existing Claims</th> <th></th> <th>Highest No. Previously Paid For</th> <th></th> <th>Extra**</th> <th></th> <th>Fee</th> <th></th> <th>Fee Due</th> </tr> </thead> <tbody> <tr> <td>TOTAL</td> <td style="text-align: center;">20</td> <td>minus*</td> <td style="text-align: center;">20 or 0</td> <td>=</td> <td style="text-align: center;">0</td> <td>x</td> <td style="text-align: center;">9</td> <td>=</td> <td style="text-align: center;">0</td> </tr> <tr> <td>INDEP</td> <td style="text-align: center;">12</td> <td>minus*</td> <td style="text-align: center;">3 or 0</td> <td>=</td> <td style="text-align: center;">9</td> <td>x</td> <td style="text-align: center;">40</td> <td>=</td> <td style="text-align: center;">360</td> </tr> <tr> <td colspan="10"> <input type="checkbox"/> First presentation of multiple dependent claim </td> </tr> </tbody> </table>		(Col. 1)		(Col. 2)		(Col. 3)						For	No. of Existing Claims		Highest No. Previously Paid For		Extra**		Fee		Fee Due	TOTAL	20	minus*	20 or 0	=	0	x	9	=	0	INDEP	12	minus*	3 or 0	=	9	x	40	=	360	<input type="checkbox"/> First presentation of multiple dependent claim																																															
(Col. 1)		(Col. 2)		(Col. 3)																																																																																					
For	No. of Existing Claims		Highest No. Previously Paid For		Extra**		Fee		Fee Due																																																																																
TOTAL	20	minus*	20 or 0	=	0	x	9	=	0																																																																																
INDEP	12	minus*	3 or 0	=	9	x	40	=	360																																																																																
<input type="checkbox"/> First presentation of multiple dependent claim																																																																																									
SUBTOTAL (2)		(\$)<u>360</u>																																																																																							

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Deepti Panchawagh-Jain	Reg. Number	43,846
Signature		Date	10/31/00

PARALLEL NETWORK PROCESSOR ARRAY

INVENTOR

Lawrence G. Roberts

Utility Application

Prepared by

Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306

Express Mail No.: **EL 482 478 056 US**

PARALLEL NETWORK PROCESSOR ARRAY

Inventor

Lawrence G. Roberts

5

CROSS REFERENCE TO RELATED APPLICATIONS

This application is related to US Patent Application No. 09/552,278, entitled "Micro-Flow Management," filed on April 19, 2000, by Lawrence G. Roberts, which is hereby incorporated by reference in its entirety.

10

BACKGROUND

TECHNICAL FIELD

The present invention relates generally to increasing the speed of processing data traveling over the Internet, and more particularly to parallel processing of data.

15

BACKGROUND OF THE INVENTION

As a result of continuous advances in technology, there has been an explosive growth of the Internet. Huge amounts of data are now sent over the Internet on a regular basis. In addition, the data traveling over the Internet includes not only text, but also images, audio and video data.

20

Both the quantity of the data being transported, as well as some of the different types of data being transported, requires very high speed data transmission over the Internet. The Internet is now being used for business-to-business transactions, for business-to-customer transactions, and individual-to-individual communications. Because the Internet currently is based on shared

bandwidth, the large quantities of data being transported over the Internet result in slower speeds for data processing. However, delays in the processing of data often are not acceptable to users of the Internet. In addition, in the case of linear program information, such as audio or video data, a delay in data transmission often causes an unacceptable deterioration in the continuation of the linear program.

Therefore, there exists a need for very high speed data-processing within the Internet. One constraining factor, however, has been that several of the processes involved in data processing on the Internet take a variable amount of time to complete. Incoming data packets can take a variable amount of time to process for several reasons, for example, because of the variable sizes of the data packets, or because of extra processing required to be performed on some packets. In addition, burst memories cause packets to arrive in a continuous burst. Further, certain types of processing such as performing a lookup in a hash table, or accessing memory on a chip, intrinsically take a variable amount of time.

Conventionally, variations in the time required for data processing have presented problems for designers of integrated circuit chips and associated networks, because of the uncertainties in the times taken to process data. Networks and chips, therefore, are conventionally designed to tolerate the maximum possible processing time required for any single packet. However, allocating the maximum possible processing time for packets results in much slower networks and integrated circuit chips.

For this reason, some of the variable time processes have been performed only at the periphery of the Internet where the data traffic is less dense, and generally have not been performed at the core of the Internet. In the example of a lookup in a hash table mentioned

above, the hashing of IP packets has been performed at the periphery of the Internet, rather than at the core of the Internet, because the time required for looking up a packet in a hash table can vary substantially, and can potentially be very long, which is unacceptable at the core of the Internet. Because network and integrated circuit chip designs conventionally allow for the maximum tolerable processing time, the look up in the hashing tables cannot be performed fast enough at the core of the Internet, where the traffic flow is very high.

One conventional method by which processing can be performed on data packets within a chip as well as within a network is illustrated in Fig. 1. According to this conventional method, all the processing on one data packet is completed before starting processing on another packet. Fig. 1 illustrates this method in the two dimensions of time along the horizontal axis, increasing from the left to the right, and of which packet is being processed along the vertical axis. As can be seen in Fig. 1, only Processor 1 is employed in such a method. Packet 1 is processed using Processor 1. Packet 2 is processed only after all the processing on the first packet has been completed, and Processor 1 is again available.

Another conventional method by which processing can be performed on data packets is illustrated in Fig. 2, and is based on a pipeline approach. This involves dividing the processing of the packets into several segments or phases. This method employs several processors, one for each of the phases. Once again, in Fig. 2, the horizontal axis represents time, and the vertical axis represents the packet being processed.

In this conventional method, each phase is assigned to a specific processor. In this pipeline approach, each processor is designed to perform a specific part of a repetitive function (e.g., for a microprocessor this could be instruction fetch, Arithmetic Logical Unit (ALU),

branch calculation, load/store). Every instruction goes through the same set of steps, with varying processing time.

For instance, in Fig. 2, the processing to be performed on each packet is divided into five phases. Phase 1 is processed by Processor 1, Phase 2 is processed by Processor 2, Phase 3 is processed by Processor 3, Phase 4 is processed by Processor 4, and Phase 5 is processed by Processor 5.

In this conventional pipeline method, the first packet, Packet 1, is processed through Processor 1. As soon as Packet 1 completes the first phase of processing and moves on to Phase 2, the second packet, Packet 2, can start being processed using Processor 1. In one embodiment, in order for this pipeline approach to work smoothly, each phase of the processing must be allocated an equal amount of time. If, as above, "M" is the maximum tolerable time within which a packet must be completely processed, each of these phases can be allocated no more than a time of "M" divided by the number of phases. In the case described in Fig. 2, each phase can be allocated no more time than $M/5$.

One problem with the above-described conventional method is that the maximum tolerable time within which a packet must be processed (i.e. "M") remains the upper bound for the time required to process each and every packet of data. Although there is some statistical gain made with the pipeline approach (since such an approach can take advantage of the variability in processing time at each phase), the pipeline is limited by the number of discrete phases that can be logically defined. This is a problem if the average operation time (instruction execution time or packet transmission time) is greater than the sum of the maximum number of phases. That is, in general, for both of the above conventional methods, if t_1 is the time taken to

process Packet 1, t_2 is the time taken to process Packet 2, and so on, with t_n being the time taken to process Packet n , then conventional systems require that:

$$t_1 \leq M; t_2 \leq M; \dots; \text{ and } t_n \leq M \quad \text{Eqn. (1)}$$

where

t_i = the amount of time taken to process a packet i , where $i = 1, 2, \dots, n$, and

M = the maximum amount of time that it could take to process a packet.

In conventional systems, therefore, variable time processes for processing packets pose a problem because conventional systems account for the maximum possible time that can be taken by an asynchronous process, without accounting for the expected value of processing time, and without accounting for the variance in the length of the process. Therefore, processes for which M is large become increasingly unfeasible to implement.

A problem for variable time processes used in processing packets is that the order in which the packets comprising a flow come into the processing unit must be maintained. In other words, the ordering of packets in a flow is important, and different packets cannot be interchanged in order during the processing. A first packet in a flow may enter a processor followed by a second packet in the same flow. However, because of the asynchronous nature of the processing, if the second packet may be processed before the first packet, then this would create a problem because the ordering of the packets in the flow would be disturbed. Thus, because each packet takes a variable amount of time to process, any system, which attempts to process multiple packets in parallel, is faced with the additional challenge of keeping the packets in order.

It should be noted that the above-mentioned problems exist not only for processing of successive packets, but also for asynchronous processing of any entities whose order needs to be maintained. For example, these problems exist in most general purpose instruction processing systems, and in several assembly line type processing systems.

5 Thus, there exists a need for a system and method for performing variable time processes on ordered data packets at high speed, while still maintaining the order of the data packets being processed.

SUMMARY OF THE INVENTION

10 The method and system of the present invention provide parallel processing of asynchronous processes on ordered entities, such as data packets. By processing a number of entities in parallel on multiple processors, the present invention takes advantage of the average time and variance of the variable time process, and thus can avoid accounting for the maximum possible time that the variable time process may take. The parallel processing of asynchronous processes on ordered entities is made possible, in one embodiment, by pre-processing the ordered entities to ensure that their order is preserved.

15 In one embodiment, each one of multiple processors can run multiple contexts. In another embodiment of the present invention, the processing may be divided into a number of stages, each of which can be performed by each of the processors.

20 A system in accordance with an embodiment of the present invention also ensures that the order of the entities is desirably preserved. In one embodiment, this order is maintained by performing pre-processing on the entities to determine their order, and then not starting

processing on an incoming entity until the processing of any entity, which must precede the incoming entity, has been completed.

For instance, in processing data packets in a network in one embodiment of the present invention, it may be desirable to ensure that packets in the same micro-flow (e.g., a specific transmission between a source and a destination node on a network maintain their order. In one embodiment, pre-processing comprises performing a pre-hash on all of the packets that currently are being processed, and storing this information. The pre-hash then is performed on any incoming packet, and this pre-hash is compared to the stored information. If the hash on an incoming packet is the same as the hash on any of the packets that currently are being processed, it is assumed that the incoming packet belongs to the same flow as another packet currently being processed. In such a situation, processing on the incoming packet only is started when it is ascertained that no packet in the same micro-flow currently is being processed.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is an illustration of a conventional system for processing entities.

Fig. 2 is an illustration of a conventional system for processing entities, where the processing is divided into multiple phases.

Fig. 3 is an illustration of a system with multiple processors in accordance with one embodiment of the present invention.

Fig. 4 illustrates a micro-flow in accordance with an embodiment of the present invention.

Fig. 5 is a flowchart illustrating an embodiment of the method of the present invention for maintaining the order of the entities.

Fig. 6 is a graph illustrating the probability that a hash function requires N lookups before a match is found in an embodiment of the present invention.

5 Fig. 7 is a graph illustrating the probability of accessing memory in a certain amount of time in an embodiment of the present invention.

Fig. 8 is an illustration of an embodiment of the present invention including multiple processors as well as multiple memory blocks.

Fig. 9 is a flowchart illustrating one embodiment of the method of the present invention, which combines look-ups in a hash table and off-chip memory access.

Fig. 10 is a pictorial illustration of a system in accordance with one embodiment of the present invention.

DETAILED DESCRIPTION OF EMBODIMENTS OF THE PRESENT INVENTION

15 Embodiments of the present invention now are described with reference to figures where like reference numbers indicate identical or functionally similar elements.

Fig. 3 illustrates an embodiment of the present invention where packets are processed in parallel. In this manner, the time taken for the execution of a variable time process often can have a significantly lower expected value than the maximum possible time required for a process
20 to execute. In addition, an embodiment of the present invention can keep track of the packets within a data transmission that is transmitted from a certain source to a certain destination with

specific quality of service state information attributed to it (e.g., a micro-flow) and does not permit a packet in this micro-flow to start being processed if another packet from the same micro-flow currently is being processed, as described in more detail below.

Fig. 4 illustrates a micro-flow of one embodiment of the present invention. In one embodiment, the micro-flow typically is a group of IP data packets including a first micro-flow data packet, at least one additional micro-flow data packet and a micro-flow close packet. The first micro-flow data packet includes a label field 305, a Quality of Service ("QoS") field 310 and a data field 312. The additional micro-flow data packets include the label field 305 and the data field 312, but not the QoS field 310. The micro-flow close packet includes the label field 305 and a close field 314. The close field 314 is used to instruct a switch to terminate an already established micro-flow that is present in the network.

The data field 312 can include a portion of or the entire content of the received data packet. This content can include a header (e.g., an IP header information) and data information associated with the received data packet. The label field 305 is responsible for enabling the network to differentiate the data packets of one micro-flow from the data packets of another micro-flow. In addition, the label field 305 is responsible for associating each micro-flow data packet with quantified QoS characteristics. This label field 305 specifically can represent a uniquely identifiable set of variables relating to the OSI model network layer (e.g., IPv4, IPv6) and transport layer (e.g., TCP, UDP) characteristics of the data packets of a single micro-flow. In one embodiment, the variables that are used to uniquely identify one micro-flow from another includes the protocol type, the source address, the destination address, the TCP/UDP source port number and the TCP/UDP destination port number associated with each data packet of the micro-flow. It should be noted that depending upon the type of data packet that is received by a

switch, the information that is used to differentiate data packets of one micro-flow from another can be other types of information, such as the real time protocol (“RTP”) type, MPLS or DiffServ identifiers, other information relating to a characteristic that is unique to the data packets of a specific micro-flow or a combination of this information. For further details
5 regarding micro-flows, please refer to copending US Patent Application No. 09/552,278, entitled “Micro-Flow Management,” which is hereby incorporated by reference herein.

Referring again to Fig. 3, in the embodiment of the present invention illustrated in Fig. 3, four processors are involved in the processing that is to be performed on the packets. The processing to be performed is not divided into phases. Packets 1-4 instead are assigned in parallel to Processors 1-4, respectively. Thus, in this instance, four packets start to be processed at the same time. The number of packets that can be processed in parallel is, in this embodiment of the present invention, equal to the number of processors employed by the system.

In Fig. 3, because variable time processes are involved, Packets 1-4 likely will complete processing at different times. Fig. 3 illustrates that Packet 2 is processed the fastest (in time t_2), Packet 3 takes a little longer to process (time t_3), Packet 4 takes a little longer still to process (time t_4), and Packet 1 takes the longest time to process (time t_1). The next packet, Packet 5, is assigned to the first available processor – in this instance, Processor 2, after it completes processing Packet 2 at time t_2 . The next packet, Packet 6, is assigned to the next available processor – in this case, Processor 3 at time t_3 , and so on. When a large number of packets are
20 processed in parallel in this manner, the average time taken by the variable time process becomes the relevant metric, rather than the longest possible time taken by the variable process to complete. Thus, by processing several packets in parallel, this illustrative embodiment of the present invention has an average time for a packet to be processed being less than M, rather than

having each and every packet being processed within M. In Fig. 3, as an example, M does not need to be greater than time t_1 . All that is required is that $(t_1 + t_2 + t_3 + t_4) / 4 \leq M$. Or more generally:

$$(t_1 + t_2 + \dots + t_n) / n \leq M \quad \text{Eqn. (2)}$$

where

$t_i =$ the amount of time taken to process packet i , where $i = 1, 2, \dots n$

$n =$ the number of packets that can be processed in parallel employed by a system in accordance with this embodiment of the present invention, and

$M =$ the maximum time that it may take to process a packet.

Further, based upon the use of queuing theory, it can be shown that variance decreases with the square-root of the number of objects being processed. Thus, performing variable time processing on a large number of packets in parallel, decreases the variance.

In another embodiment of the present invention, also involving multiple processors, each processor is capable of running different "contexts." A context is the state information maintained for each packet process. A processor can start processing a packet. There then may be a length of time where the packet does not need the processor. This situation can occur, for instance, if the packet needs to access off-the-chip memory. In such a situation, the processor can store the context of the packet, and start processing another packet, until such time that the first packet is ready to use the processor again. In such an embodiment, the limit on the number of packets that can be processed in parallel is the number of processors multiplied by the number of contexts that each processor is capable of running. In one embodiment, each processor consists of a Reduced Instruction Set Computer (RISC) unit capable of running on four different

contexts. Each context consists of a register file, a program counter register, and a subroutine stack. As packets arrive, they are placed in one of the register files of one of the processors. In one embodiment, the allocation of processors is done on a least used basis.

The availability of multiple contexts has the following advantages including: (1) while a register file is not being used for packet processing, the packet data can be loaded into that register file; (2) while a register file is not being used for packet processing, loading flow block data (e.g., a table entry, which stores state information, such as rate and delay variation information, regarding a micro-flow) that can be loaded into a register file, thus hiding memory latency and (3) while a register file is not being used for processing, storing packets and flow blocks from register files to memory, thereby hiding memory latency. A context manager can be used to manage the multiple contexts in each processor.

A second problem with respect to the use of asynchronous processes in processing network packets is maintaining the order of the packets within a micro-flow. It may happen that the first packet of a micro-flow may be assigned to a processor, and a second packet of the same micro-flow may be assigned to a different processor. Since the time taken to process each packet is variable, there is no guarantee that the processor that received the first packet will be ready to process the first packet before the processor that received the second packet processes the second packet. The second packet cannot be allowed to complete processing before the first packet without an issue of misordering developing, which may corrupt the data. In order to ensure that packets get processed in the correct order, they typically are pre-processed to establish a locking mechanism. In various embodiments of the present invention, this ordering problem is resolved by performing pre-processing on the packets to keep track of the packets in a single unique micro-flow, rather than establishing a locking mechanism. Based upon keeping track of the

packets in each micro-flow, it is ensured that the processing of any packet in a micro-flow is not started when one of the packets in the micro-flow already is being processed. In this manner, later packets in a specific micro-flow can be ensured to not get processed faster than a previous packet in the same micro-flow, and thus the packets in a micro-flow do not get out of order.

5 As illustrated in the flowchart of Fig. 5, when a packet is received, the packet is assigned 350 to one of the multiple processors available for processing the packet. In particular, the packets can be assigned based upon processor load or upon assigning packets of the micro-flow to the same context. The micro-flow to which the packet belongs then is tracked 360. The micro-flow can be tracked based upon the hash key (e.g., tuple defining the micro-flow) or a hash value. Some pre-processing on the packet is performed to determine 370 whether another packet from the same micro-flow currently is being processed. If a packet from the same micro-flow is not already being processed, the processing of the received packet is started 380. If another packet from the same micro-flow currently is being processed, the processing on the new packet is not started 390 until the processing on the other packet in the same micro-flow is completed.

In one embodiment, the pre-processing to keep track of packets in a micro-flow 360 includes computing a pre-hash on the packets that currently are being processed. All packets that belong to the same micro-flow will produce the same hash number. These hash numbers are placed into a table. If the hash number of an incoming packet already exists in the table, that 20 packet, which has the same hash number, therefore, potentially could belong to the same micro-flow, where a packet already is in one of the processors (or in one of the contexts in one of the processors). In one embodiment, before placing the packet in a context, a ready flag is reset to indicate that that packet cannot be processed. The ready flag will be set once the context that

contained an earlier packet with the same hash number releases the flow block of that micro-flow. In one embodiment, which includes 16 processors with 4 contexts each, the pre-processor generates a set of 64 ready flags - one flag for each context - that indicates whether a particular context can be processed or not. When the processor is ready to process a certain context, the processor will look at the ready flag of the context, and if the ready flag is set, will skip to the next context.

In an embodiment of the present invention, the processor can generate other flags similar to the ready flags. These include the "free for the next Start Of Packet (SOP) packet" flags, the "free for the next End Of Packet (EOP) packet" flags, and the "done with the current flow block" flags. When a processor completes execution of all of the operations of one context and releases the flow block, the processor may indicate the completion of the execution to the preprocessor asserting the "done with the current flow block" flag. When the processor is ready to accept a new packet, the processor may indicate this readiness with the "free for the next SOP" flag. When the processor is ready to accept an EOP, the processor may indicate this readiness with the "free for the next EOP" packet. This mechanism can prevent a context from receiving other SOPs before the EOP has arrived.

The operation of embodiments of the present invention relating to variable time processes, such as lookups in a hash table and accessing off-chip memories and other asynchronous processes, is described in further detail below.

LOOKUPS IN A HASH TABLE:

A hash function is a many-to-one function that can be used to organize packets into tables. The purpose of hashing is to reduce the amount of storage required for the state information, while at the same time minimizing the search time. For example, an array of state information entries may be created, which is directly indexed by concatenating the packet header contents. This technique can be very efficient since this technique can require only one lookup. Such a technique, however, would need a prohibitive amount of memory since at any given time, only a very small subset of unique packet headers will need to be tracked. Alternatively, a linked list may be created, and the entire list could be searched each time by comparing the packet header to the state information in the list entry and following the link to the next entry until the match is found. This technique is a very space efficient approach since only the exact number of state information entries needs to be maintained. However, such an approach is extremely slow for searching if the list becomes long. The hash function of an embodiment of the present invention facilitates combining these two approaches by using the hash sum as an index into an array, where the array entry is a linked list (or tree). Now the linked list search is kept small (e.g., a couple of entries) as long as the array size is suitably large and the hash function has a good distribution across the array.

As mentioned above, the hash function is a many-to-one function. That is, the hash function performed on a particular packet may result in the same hash value as a totally unrelated packet. In one embodiment, this could be because the hashing is performed on information extracted from the headers of the packets, and the sum of individual bytes in two different headers yield the same value. After the hash value has been calculated, the hash value is used to search a data structure to locate the matching state information. The match is accomplished by comparing the header in the packet under operation with the contents of fields of the state

information table. If the actual packet header matches the stored information, then a match is identified. Otherwise, some data structure (e.g., a linked list or a balanced tree) is traversed to repeat the comparison until a match is found.

The graph in Fig. 6 illustrates the probability that a hash function requires N lookups before a match is found. It is, in fact, theoretically possible for a multi-million number of lookups to be required in order for a match is found. In other words, it could theoretically take an infinite amount of time for a lookup in a hash table. Therefore, if the lookups were to be performed employing either of the conventional methods described above, a maximum processing time of infinity would have to be tolerable. This maximum processing time clearly is not acceptable, and therefore the hash function cannot be employed by the conventional methods, especially in situations requiring small processing times, such as at the core of the Internet. Allocating a very long time to the processing of every single packet does not allow for the desired speed of the Internet. This unacceptable maximum processing time is a reason why, although hashing of data packets has been employed at the edge of the Internet (where the data traffic is less and thus slower processing speeds are acceptable), hashing of data packets has not been employed at the core of the Internet (where the data traffic is dense and faster processing is required).

Instead, conventional synchronous alternatives to the hash function have been employed. One of these alternatives involves the use of Content Addressable Memories (CAMs). CAMs search in parallel through a large number of entries of a key. Their largest drawback is that they only can do a lookup through a small number of entries. CAMs thus are very expensive if implemented for a large number of packets. Another of these alternatives involves the use of Fixed Binary Searches. A Fixed Binary Search is an algorithm for searching for a specific entry

in a table. In general, if N packets have to be looked through, it takes $\log N$ lookups. Thus, the average time taken to search through N packets is $(\log N)/2$. This average time is substantially longer than the average time taken by a lookup in a hash table. However, although the times taken by both the CAM and the Fixed Binary Search are long, the times are fixed. Since conventional systems are unable to deal with variable times for at least the reasons described above, the conventional systems are forced to deal with the long times taken by these synchronous processes.

Returning to the lookups required for the hash function, it can be seen in Fig. 6 that although the number of lookups required for a hash theoretically can be very large, it is certain within a $1E-12$ probability that the number of lookups required for a hash is less than 8. Practically, this translates into an "almost certainty" that less than 8 lookups will be needed for a hash function. Further, from analyzing Fig. 6 it can be seen that the expected value of the lookups is only 1.23. That is, on an average, the number of lookups required for a hash function is only 1.23. These value translates into time that is much less than the time taken by the above-described conventional alternatives. Thus, by exploiting the much smaller expected value of the number of lookups required for a hash, rather than its significantly larger maximum possible value, the hash function can provide a far better alternative than its conventional synchronous counterparts. As described with reference to Fig. 3, the various embodiments of the present invention are based upon the average time required to perform a variable time process on a packet, rather than on the maximum possible time required to perform that variable time process on a packet.

Further, since, as mentioned above, variance decreases with the square-root of the number of objects being processed, performing hashing on a large number of packets in parallel, significantly can decrease the variance of the lookup process.

5 ACCESSING OFF-CHIP MEMORY:

Another example of a variable-time process is accessing off-chip memory. It is to be noted that accessing on-chip memory also could be a variable-time process. However, the illustrative discussion that follows specifically focuses on accessing off-chip memory, since the variations in access time are most pronounced in this instance. The time taken to access off-chip memory is variable for at least the reasons discussed below.

In one embodiment of the present invention, Double Data Rate (DDR) memory can be used. In DDR memories, data is switched on both the rising and the falling edge of the clock. Thus, a DDR SDRAM doubles the data rate of a regular SDRAM. DDR memories deliver high bandwidth and low-latency performance that is required to keep pace with the more advanced microprocessors and graphics subsystems. Off-chip memory is relatively slow and is variable due to the inherent characteristics of DDRs (bank select, chip select, Row Address Strobe / Column Address Strobe (RAS/CAS), etc.). So the amount of time to access a particular memory location may vary based on previous memory accesses (e.g., based on the state of the DDR memory). Also, the time may vary due to other memory accesses that are being performed concurrently (e.g., it may be faster to respond to another memory access due to the current state of the DDRAM). So the memory block is responsible for initiating the memory access operation and waiting for the memory access operation to complete.

The graph of a DDR memory function is shown in Fig. 7. It can be seen from Fig. 7 that memory access is a variable time process. In fact, there is a probability of 10^{-7} that 820 nanoseconds (ns) will be required for a memory access. However, the average time taken for a memory access is only 37.53 ns. Once again, as in the hash lookup case discussed above, in the case of memory access as well, the average or expected value is significantly less than the maximum possible value. Thus, exploiting the average value of the time required for memory access, as the different embodiments of the present invention do, is far more beneficial than allowing for the maximum possible processing time.

As mentioned earlier, in one embodiment, the processor can hold the context of the memory access request, so that the processor can process a different packet using another context, while waiting for the previous packet to get finished with its memory access.

Fig. 8 pictorially illustrates an embodiment of the present invention, including multiple processors as well as multiple memory blocks. Here, a memory block is a unit of logic that handles the retrieval or storage of off-chip memory.

In this case:

$$\sum_{i=1 \dots n} (t_{\text{packet processing time for packet } i} + t_{\text{memory access time for packet } i}) / n \leq M \quad \text{Eqn. (3)}$$

In Fig. 8, it can be seen that the processing of a packet can be divided into “stages.” In one embodiment, however, every processor is a general purpose processor, capable of performing every stage, and is not restricted to having the capability to perform only one stage. This ability of each of the processors to perform each of the stages, makes it possible to scale (increase or decrease) the number of stages without changing the logical grouping of operations.

Referring to Fig. 8 again, Packets 1-4 thus start out being processed in parallel on Processors 1-4 respectively. This is "Stage 1" for each of the packets, since each stage is defined as ending when the processing of the packet requires that a memory block be accessed. For instance, Packet 1 is processed by Processor 1 until a memory look-up is required, and Stage 1 of packet 1 ends. Packet 1 then is routed to the first memory block available at that time, Memory 2, for Stage 2 of the processing. Upon completion of Stage 2, Packet 1 needs a processor again, and is assigned, not necessarily to the processor Packet 1 was processed on earlier (that is Processor 1), but rather to the first available processor (which in this case is Processor 2). Packet 2, on the other hand, does not require a memory look-up at all. Therefore, the processing of Packet 2 comprises only one stage, and Packet 2 is completely processed by Processor 2.

In one embodiment, the processing of a packet may comprise the five stages of Instruction fetch, Instruction decoding and register access, Execution or memory address computation, Memory access, and Register write-back.

AN EMBODIMENT THAT COMBINES LOOK-UPS IN A HASH TABLE AND OFF-CHIP MEMORY ACCESS:

Fig. 9 is a flowchart that illustrates one embodiment combining look-ups in a hash table and off-chip memory access. Consider a packet, which arrives at the processing unit. A system in accordance with one embodiment of the present invention will first receive 710 the arriving packet. Next, the packet is assigned 720 to an available processor. The processor identifies the protocol of the packet. Some examples of possible protocols include the Internet Protocol ("IP"), Asynchronous Transfer Mode ("ATM"), Frame Relay ("FR"), Multi-Protocol Label Switching ("MPLS"), and Ethernet. The assigned processor then extracts 730 information from the header

of the packet. This extracted information varies with the kind of the packet protocol. For instance, for an IP packet, the extracted information is the “IP 5-tuple” which includes the IP source address, the IP destination address, the IP port source, the IP port destination, and the type of protocol (e.g. TCP, UDP, etc.).

5 The extracted information then is hashed 740 to produce a sum. A pointer then is assigned 750 to the sum, such that the pointer points to a flow block in memory. At this point, the packet gets assigned 760 to an available memory block. A flow block to which the sum points then is obtained 770 from memory. Now the packet once again is assigned 780 to an available processor in order to complete the remainder of the processing of the packet. In one
10 embodiment, this processor may be the same processor that previously processed the packet. In another embodiment, this processor may be a different one. Each of these alternatives is feasible, as long as the processor to which the packet is being assigned 780 has access to the context of the packet stored earlier.

At this assigned processor 780, the processing of the packet is continued 790. In this
15 embodiment, a “stage” of processing, as discussed above with reference to Fig. 8, may be defined as all the processing that needs to be performed before access to an off-chip memory is required. Further, in this embodiment, a tracking of whether a packet in a particular flow is being processed may be implemented, as described above with reference to Fig. 5.

20 **Use of multiple processors:**

In order to implement a system that is operable as illustrated in Fig. 3 or Fig. 6, it is

desirable to determine the number of processors (N) that should be employed in parallel, in order to obtain an acceptable average rate of processing of the packets. In one embodiment, the incoming packets can be modeled as an exponential distribution (M/M/1), which provides a good approximation of packets in a queue, waiting to be processed. Assume that one “packet time” is the time taken for a packet to be received at the processing unit. That is, a packet time reflects the speed at which packets are being received at the processor. In such an embodiment,

$$(A/N)^N = P \quad \text{Eqn. (4)}$$

where

- A = the average number of packet times that a processor takes to finish processing a packet,
- N = the number of processors to be employed, and
- P = the probability that N processors would not be sufficient for purposes of processing the received packets.

Here, (A/N) indicates the utilization of each of the N processors. So the utilization of all of the N processors is $(A/N)^N$. This utilization in turn should be equal to the probability with which it is acceptable that N processors would not be sufficient for purposes of processing the received packets at the desired rate. In one embodiment, this probability P is chosen to be such a small probability that for practical purposes N processors will almost always suffice to process the incoming packets at the desired rate. Once an appropriate value of P is chosen, equation (4) above can be solved for N, since A is known or can be experimentally determined.

In another embodiment, each processor, which is employed, may have multiple contexts. In such an embodiment, where the processors can process all of these contexts within the time available:

$$[A/(N*C)]^{(N*C)} = P \quad \text{Eqn. (5)}$$

where

C = the number of contexts in each processor.

In yet another embodiment, an input buffer may be used to receive the incoming packets. The input buffer may be First In First Out (FIFO). In such an embodiment,

$$(A/N)^{(N+J)} = P \quad \text{Eqn. (6)}$$

Combining two of the above embodiments, another embodiment includes multiple contexts on each processor, as well as an input buffer. In such an embodiment:

$$[A/(N*C)]^{[(N*C)+J]} = P \quad \text{Eqn. (7)}$$

where

J = number of packets that can be stored in the input buffer at one time.

In one embodiment of the present invention, a continuous stream of 40-byte packets belonging to a single flow at Optical Connect- (OC-) 192 rate may be received. In this case the time to process each packet is 32 nanoseconds (ns). With processors operating at 266 MHz, this time amounts to 8 cycles. That is, the value of A in such an embodiment is about 8. In one embodiment, P is 1E-12. That is, if there is only a probability of 1E-12 that N processors are not sufficient for processing the received packets at the desired rate, this is acceptable. This is

because 1E-12 is such a small probability that for practical purposes it is essentially assured that N processors will almost always suffice to process the incoming packets at the desired rate. It should be noted that these values can be selected to be any other values, and that the numbers above are just illustrative examples. In this embodiment, according to equation (4), N is 16. In accordance with equation (5), N is 16 and C is 4. In accordance with equation (6), N is 16, and J is 1. In accordance with equation (7), N is 16, C is 4 and J is 1. In summary, these figures provide a high probability that scheduler 817 will handle packets in a reasonable amount of time.

Once the number of processors to be used has been determined, and that number of processors has been employed, a system in accordance with the present invention assigns packets to a specific processor. Further, if each processor runs multiple contexts, the system assigns packets to a particular context in a specific processor. In one embodiment, these assignments are handled together by a processor scheduler.

Fig. 10 illustrates one embodiment of a system 800 in accordance with the present invention. The system 800 comprises a pre-processor 810, a memory 820, and a processor array 830. The pre-processor 810 in turn comprises a header manipulator 812 and a memory manager 814 and a scheduler 817.

The pre-processor 810 performs pre-processing on the incoming packets so as to lock-in their order, and to thus ensure maintenance of their order after processing is completed. The header manipulator 812 receives each incoming packets and performs a hash on its header and creates a hash value. An alternative embodiment is to utilize the hash key (e.g. a tuple constructed of protocol source, destination address, protocol type, source port and destination port). As discussed above, this hash value then can be checked against the hash value of all the packets currently being processed in the processor array 830. Header manipulator 812 then

forwards the body of the packet to the memory manager and the header to the scheduler 817. If a packet with the same hash value is currently being processed in the processor array 830, the scheduler 817 does not schedule the incoming packet.

The memory manager 814 stores the body to memory 820. The header of the incoming packet, the hash value, and a pointer to the memory 820 location where the body of the packet is stored are sent by the scheduler 817 to the processor array 820. The processor array 830 comprises of several processors, which then process the incoming packets.

While the present invention has been particularly shown and described with reference to various embodiments, it should be noted that various changes in form and details can be made therein without departing from the spirit and scope of the invention.

We claim:

1. A system for performing variable time parallel processing on a plurality of ordered incoming entities, the system comprising:
 - a plurality of processors for processing the plurality of ordered incoming entities;
 - a processor scheduler coupled to the plurality of processors for assigning each of the plurality of incoming entities to one of the plurality of processors; and
 - a pre-processor coupled to the processor scheduler for keeping track of an order of the plurality of incoming ordered entities.
2. The system of claim 1 further comprising:
 - a plurality of memory blocks coupled to the plurality of processors, which the plurality of incoming ordered entities need to access.
3. The system of claim 1 further comprising:
 - an input buffer coupled to the plurality of processors, for buffering the plurality of ordered incoming entities.
4. The system of claim 1, wherein each of the plurality of ordered incoming entities comprises a data packet.
5. The system of claim 1, wherein each of the plurality of processors can run a plurality of contexts.
6. A method for performing variable time processes in parallel on a plurality of ordered incoming entities, on a plurality of processors, the method comprising:
 - assigning each of the plurality of incoming entities to one of the plurality of processors;
 - pre-processing each of the plurality of ordered incoming entities to establish an order of the plurality of ordered incoming entities; and

6 processing each of the plurality of ordered incoming entities on the corresponding one of
7 the plurality of processors to which it is assigned.

1 7. The method of claim 6, wherein the plurality of ordered incoming entities
2 comprise a plurality of packets in a network.

1 8. The method of claim 7 wherein the processing comprises:
2 extracting information from a header of each of the plurality of incoming packets;
3 hashing the extracted information for each of the incoming packets;
4 storing the hashed information; and
5 responsive to a new packet being received, comparing the hash of the extracted
6 information for the new packet with the stored information.

1 9. The method of claim 6, wherein the assigning comprises:
2 selecting one of the plurality of processors which is free to process one of the plurality of
3 ordered incoming entities at the time that the one of the plurality of ordered
4 incoming entities is received.

1 10. The method of claim 6, wherein the pre-processing comprises:
2 determining whether a first in the plurality of ordered incoming entities is currently being
3 processed at the time when a subsequent one of the plurality of ordered incoming
4 entities is received;
5 responsive to determining that the first in the plurality of ordered incoming entities is
6 currently being processed:
7 not starting processing of the subsequent one of the plurality of ordered incoming
8 entities;
9 redetermining at a later time whether the first in the plurality of ordered incoming
10 entities is currently being processed; and

responsive to determining that the first in the plurality of ordered incoming entities is not currently being processed, starting processing of the subsequent one of the plurality of ordered incoming entities.

11. A method for determining the optimal number of processors to be used in a processing unit for parallel processing of variable time processes on a plurality of packets in a network, in which each of the plurality of packets is received at the processing unit in one packet time, the method comprising:

solving $(A/N)^N = P$, for N, where A represents an average number of packet times that a processor takes to finish processing a packet, N represents the number of processors to be employed, and P represents a probability that N processors would not be sufficient for purposes of processing the received packets.

12. A method for determining the optimal number of processors to be used in a processing unit for parallel processing of variable time processes on a plurality of packets in a network, in which each of the plurality of packets is received at the processing unit in one packet time and each of the processors runs a plurality of contexts, the method comprising:

solving $[A/(N*C)]^{(N*C)} = P$, for N, where A represents an average number of packet times that a processor takes to finish processing a packet, C represents a number of the plurality of contexts on each of the processors, N represents the number of processors to be employed, and P represents a probability that N processors would not be sufficient for purposes of processing the received packets.

13. A method for determining the optimal number of processors to be used in a processing unit for parallel processing of variable time processes on a plurality of packets in a network, in which each of the plurality of packets is received at the processing unit in one packet time, and each of the processors runs a plurality of contexts, and has an input buffer with capacity to store "J" of the plurality of received packets, the method comprising:

6 solving $[A/(N*C)]^{(N*C)+J} = P$, for N, where A represents an average number of packet
7 times that a processor takes to finish processing a packet, C represents a number
8 of the plurality of contexts on each of the processors, N represents the number of
9 processors to be employed, and P represents a probability that N processors would
10 not be sufficient for purposes of processing the received packets.

1 14. A system for performing variable time processes in parallel on a plurality of
2 packets in a network which comprise a plurality of flows, the system comprising:
3 a plurality of processors for processing the plurality of packets;
4 a processor scheduler coupled to the plurality of processors for assigning each of the
5 plurality of packets to one of the plurality of processors; and
6 a pre-processor coupled to the processor scheduler for keeping track of the ones of the
7 plurality of packets comprising each of the plurality of flows.

8 15. A system for performing variable time processes on a plurality of packets in a
9 network in parallel, the plurality of packets comprising a plurality of flows, the system
10 comprising:
11 a plurality of processors for processing the plurality of packets;
12 a processor scheduler coupled to the plurality of processors, for assigning each of the
plurality of packets to one of the plurality of processors;
a pre-processor coupled to the processor scheduler for keeping track of the ones of the
plurality of packets comprising each of the plurality of flows;
a plurality of memory blocks coupled to the plurality of processors, which the plurality of
packets need to access; and
an input buffer coupled to the plurality of processors, for buffering the plurality of
packets.

1 16. A method for performing variable time processes in parallel on a plurality of
2 processors on a plurality of packets in a network which comprising a plurality of flows, the
3 method comprising:
4 assigning each of the plurality of packets to one of the plurality of processors;
5 pre-processing each of the plurality of packets to keep track of the ones of the plurality of
6 packets comprising each of the plurality of flows; and
7 processing each of the plurality of ordered incoming entities on the corresponding one of
8 the plurality of processors to which it is assigned.

1 17. A method for performing variable time processes in parallel on a plurality of
2 processors on a plurality of packets in a network which comprise a plurality of flows, the method
3 comprising:
4 assigning each of the plurality of packets to one of the plurality of processors;
5 determining whether a first in the plurality of packets comprising one of the plurality of
6 flows is currently being processed at the time when a subsequent one of in the
7 plurality of packets comprising the one of the plurality of flows is received;
8 responsive to determining that the first in the plurality of packets is currently being
9 processed:
10 not starting processing of the subsequent one of the plurality of packets;
11 redetermining at a later time whether the first in the plurality of packets is
12 currently being processed;
13 responsive to determining that the first in the plurality of packets is not currently being
14 processed, starting processing of the subsequent one of the plurality of packets;
15 and
16 processing each of the plurality of packets on the corresponding one of the plurality of
17 processors to which it is assigned.

1 18. An electronically readable medium storing a program for permitting a
2 computer to perform a method for performing variable time processes in parallel on a plurality of
3 ordered incoming entities, on a plurality of processors, the method comprising:
4 assigning each of the plurality of incoming entities to one of the plurality of processors;
5 pre-processing each of the plurality of ordered incoming entities to establish an order of
6 the plurality of ordered incoming entities; and
7 processing each of the plurality of ordered incoming entities on the corresponding one of the
8 plurality of processors to which it is assigned.

1 19. An electronically readable medium storing a program for permitting a computer
2 to perform a method for determining the optimal number of processors to be used in a processing
3 unit for parallel processing of variable time processes on a plurality of packets in a network, in
4 which each of the plurality of packets is received at the processing unit in one packet time, the
5 method comprising:

6 solving $(A/N)^N = P$, for N, where A represents an average number of packet times that a
7 processor takes to finish processing a packet, N represents the number of
8 processors to be employed, and P represents a probability that N processors would
9 not be sufficient for purposes of processing the received packets.

1 20. An electronically readable medium storing a program for permitting a computer
2 to perform a method for performing variable time processes in parallel on a plurality of
3 processors on a plurality of packets in a network which comprise a plurality of micro-flows, the
4 method comprising:

5 assigning each of the plurality of packets to one of the plurality of processors;
6 determining whether a first in the plurality of packets comprising one of the plurality of
7 micro-flows is currently being processed at the time when a subsequent one of in

8 the plurality of packets comprising the one of the plurality of micro-flows is
9 received;
10 responsive to determining that the first in the plurality of packets is currently being
11 processed:
12 not starting processing of the subsequent one of the plurality of packets;
13 redetermining at a later time whether the first in the plurality of packets is
14 currently being processed;
15 responsive to determining that the first in the plurality of packets is not currently being
16 processed, starting processing of the subsequent one of the plurality of packets;
17 and
18 processing each of the plurality of packets on the corresponding one of the plurality of
19 processors to which it is assigned.

PARALLEL NETWORK PROCESSOR ARRAY

ABSTRACT OF THE DISCLOSURE

5 A method and system performs parallel processing of asynchronous processes on ordered entities. A system focuses on the average time and variance of the variable time process. Each processor can run multiple contexts. The processing may be divided into a number of stages, each of which can be performed by each of the processors. A system also needs to ensure that the order of the entities is preserved as desired. This order may be maintained by performing some type of pre-processing on the entities to determine their order, and then not starting processing on an entity until the processing of any entity which must precede that entity has been completed. For processing of packets in a network, it may be needed to ensure that packets in the same flow maintain their order after processing. A system also may determine the number of processors that optimally are needed in order to process an incoming stream of entities at a desired speed. This computation may depend on how many different contexts each processor runs. In addition, this computation also may depend on whether there is an input buffer available to store the incoming entities, and the capacity of such an input buffer.

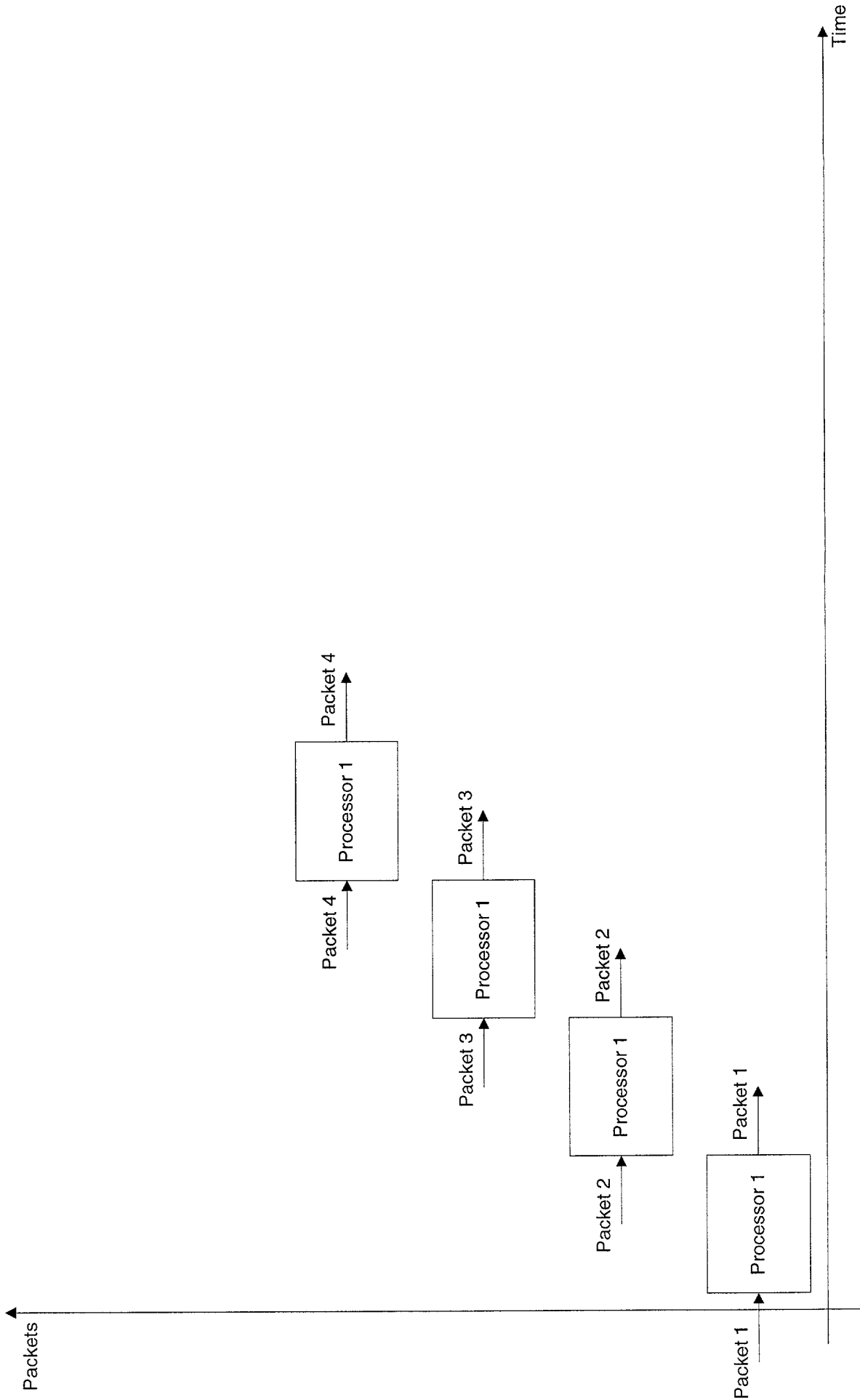


Figure 1

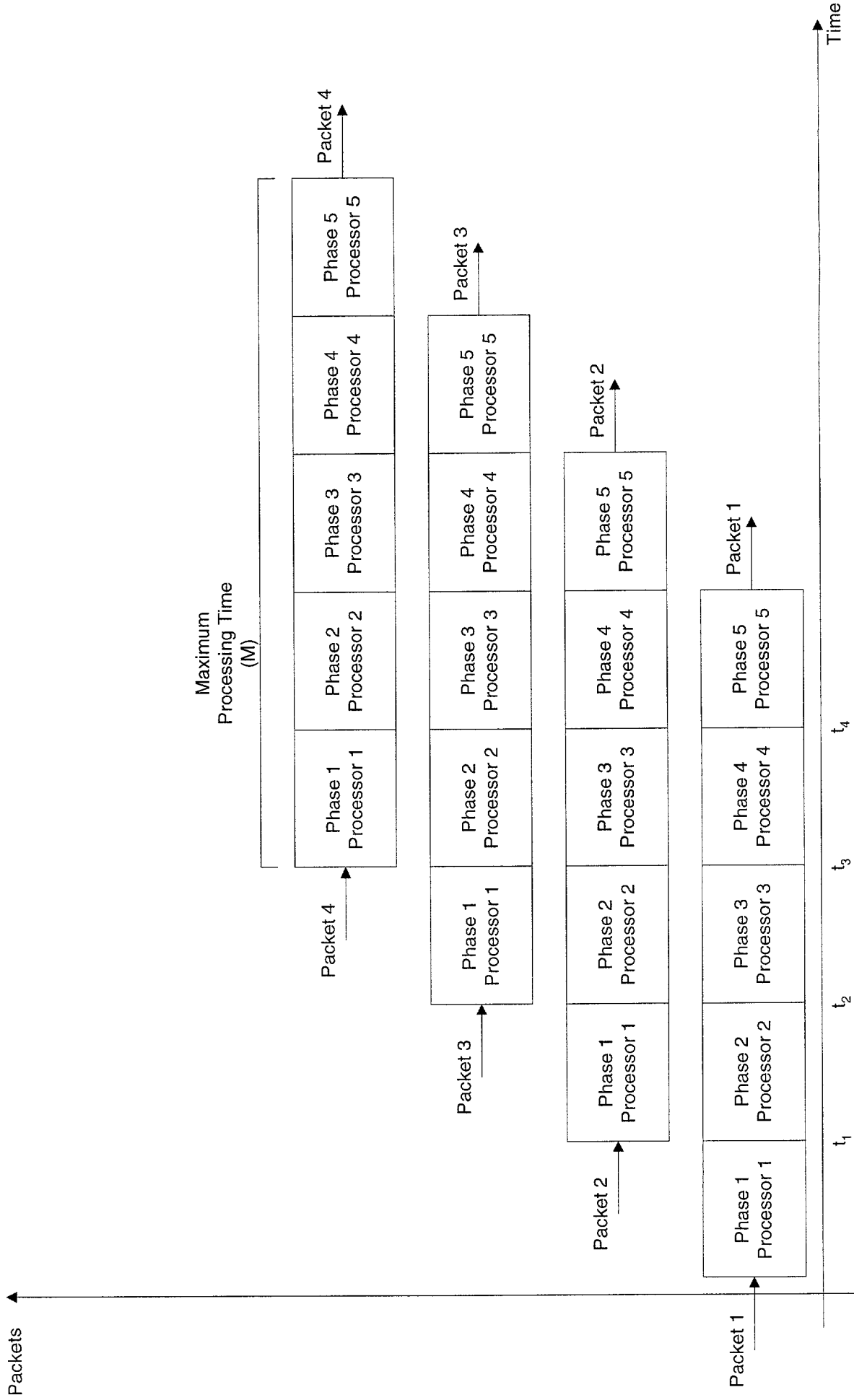


Figure 2

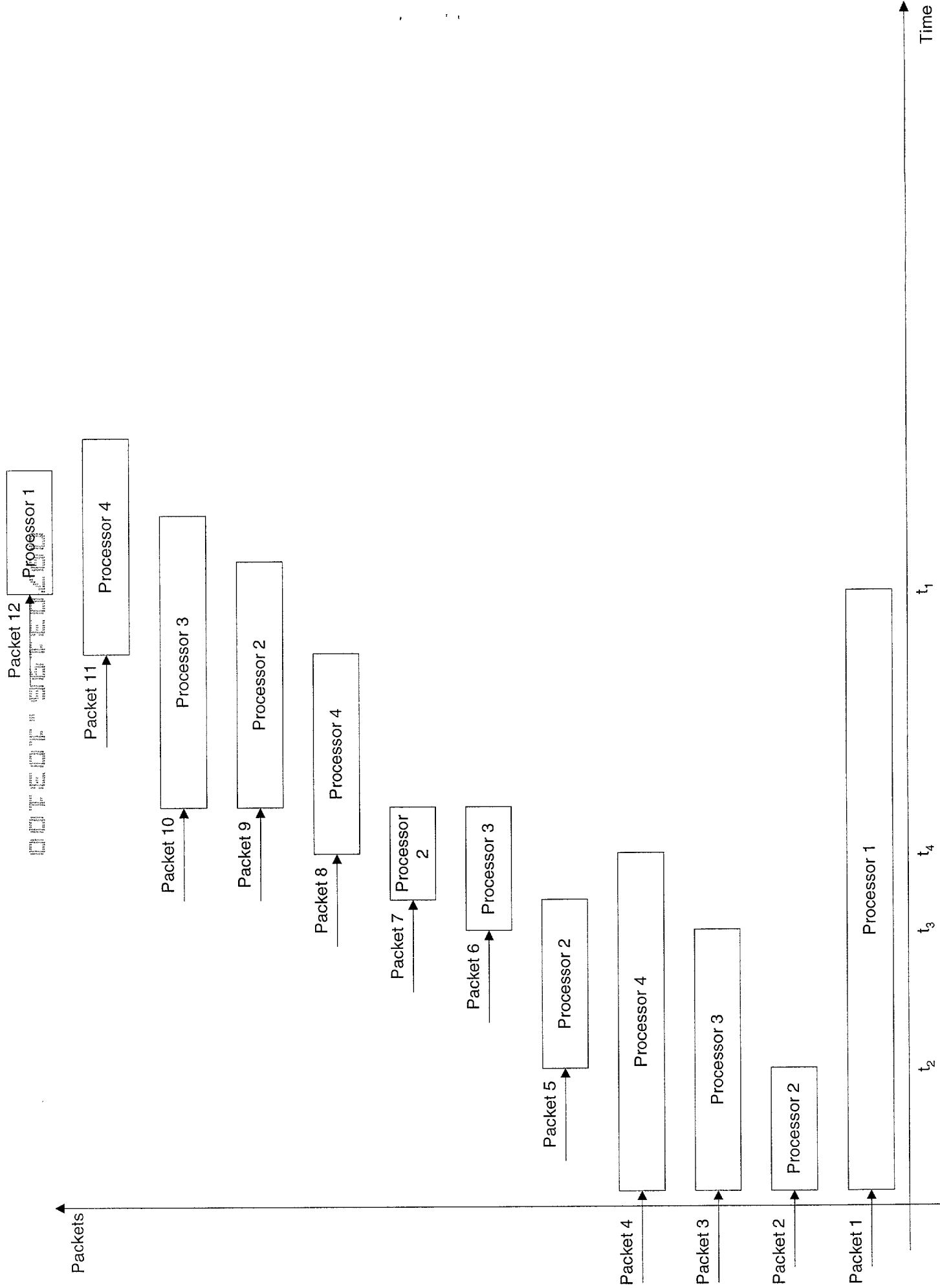


Figure 3

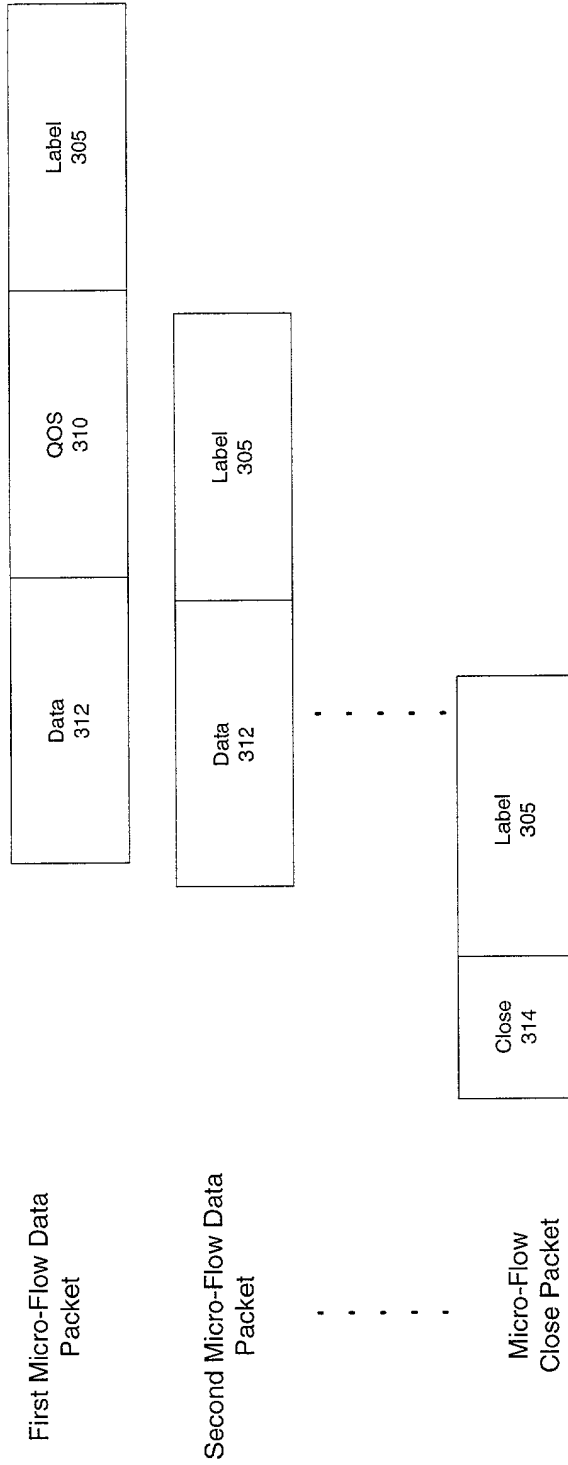


Figure 4

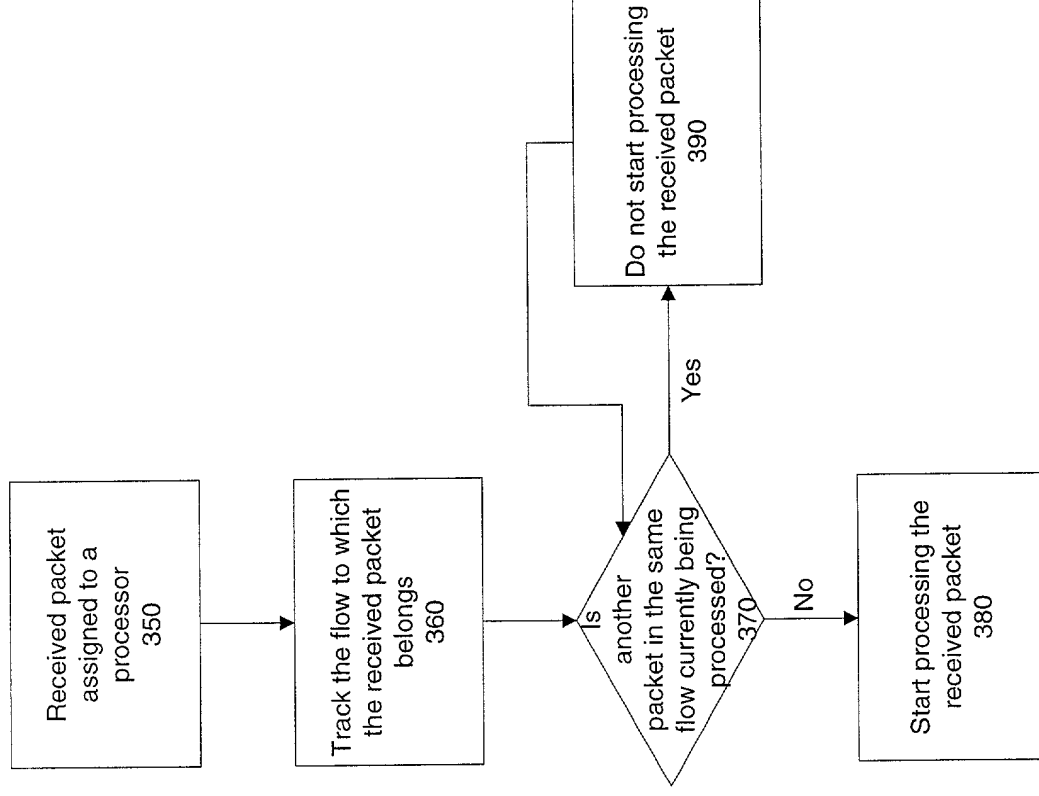


Figure 5

Probability of Hash Tree Needing N Lookups Binary Tree Hash Table

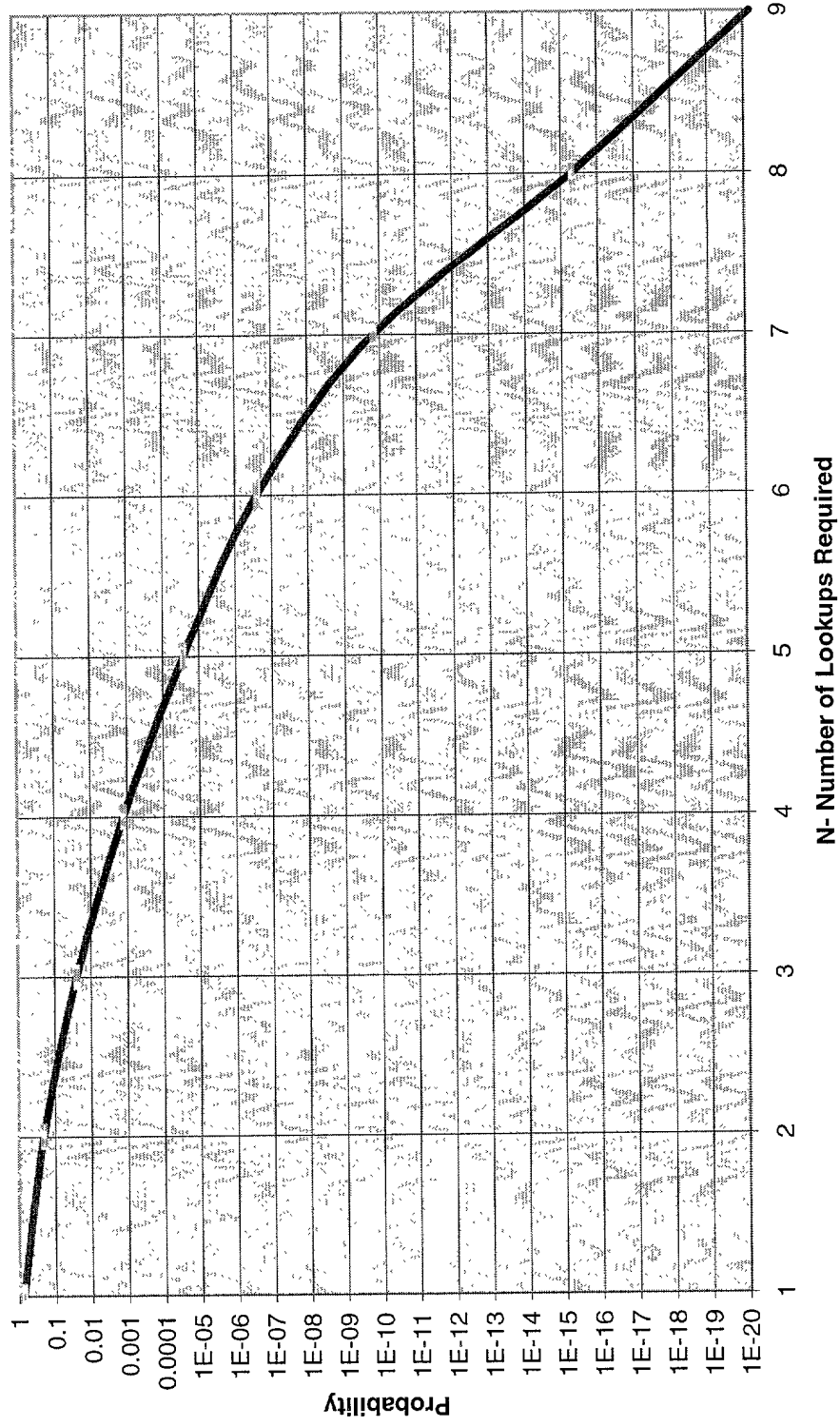


Figure 6

MEMORY ACCESS TIME distribution

average= 37.53 nsec

maximum= 820 nsec

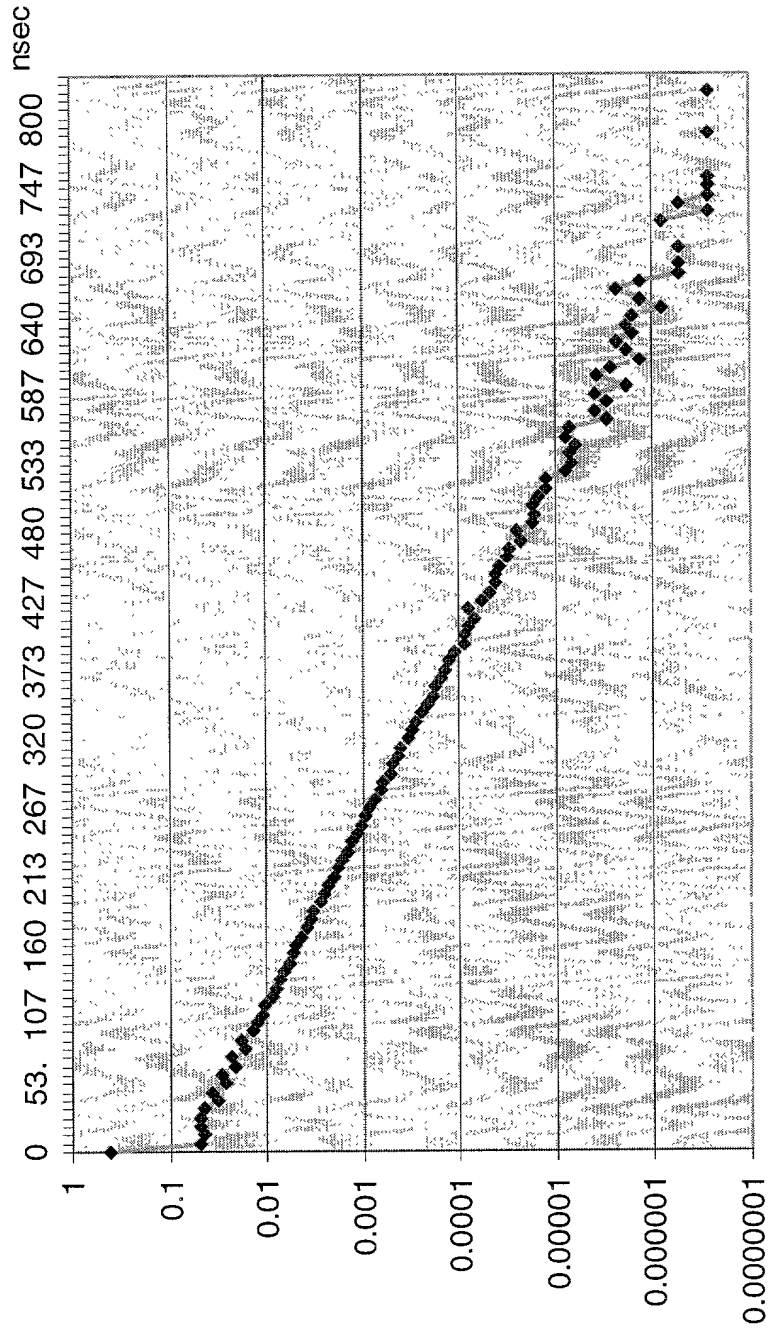


Figure 7

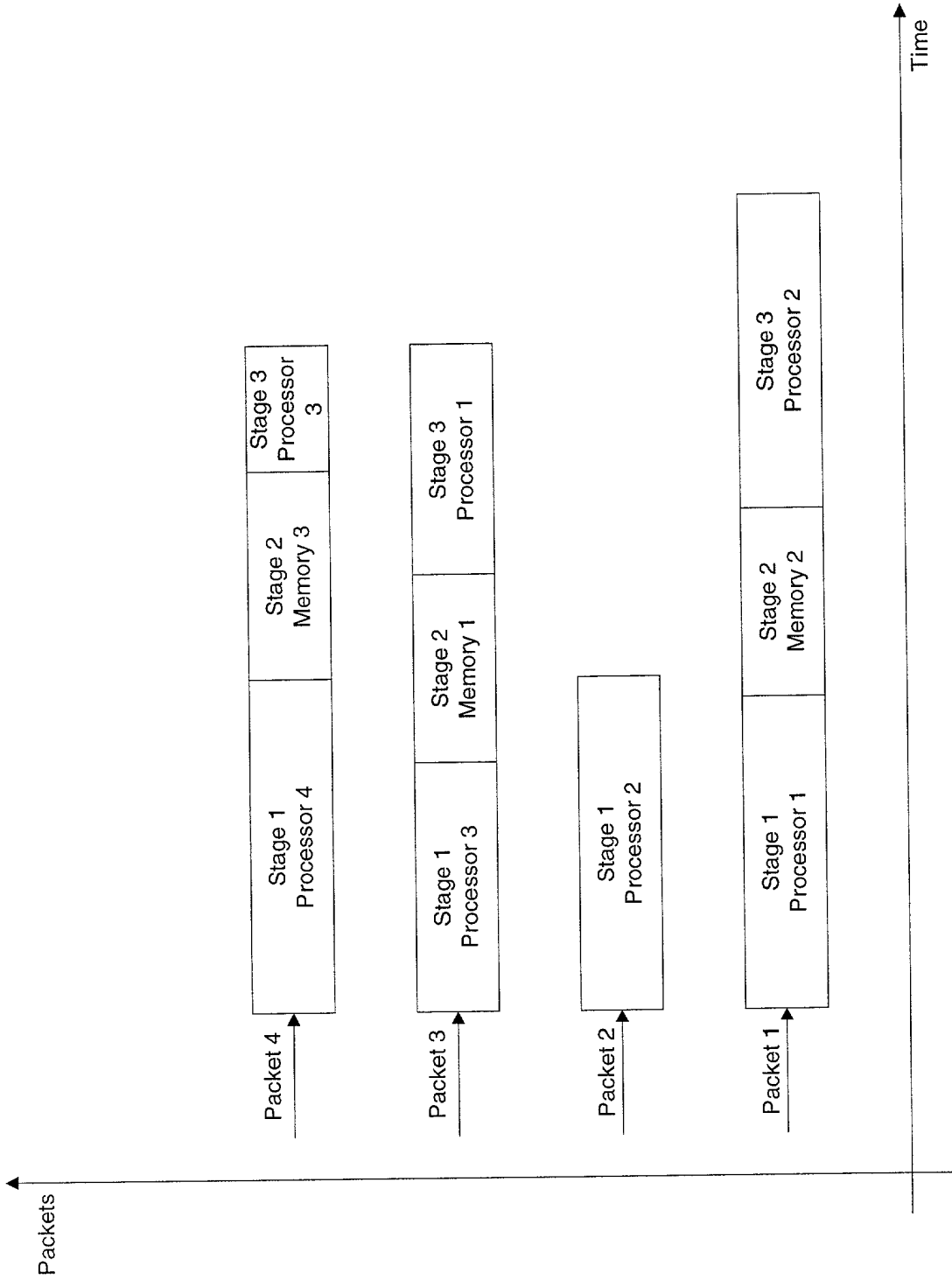


Figure 8

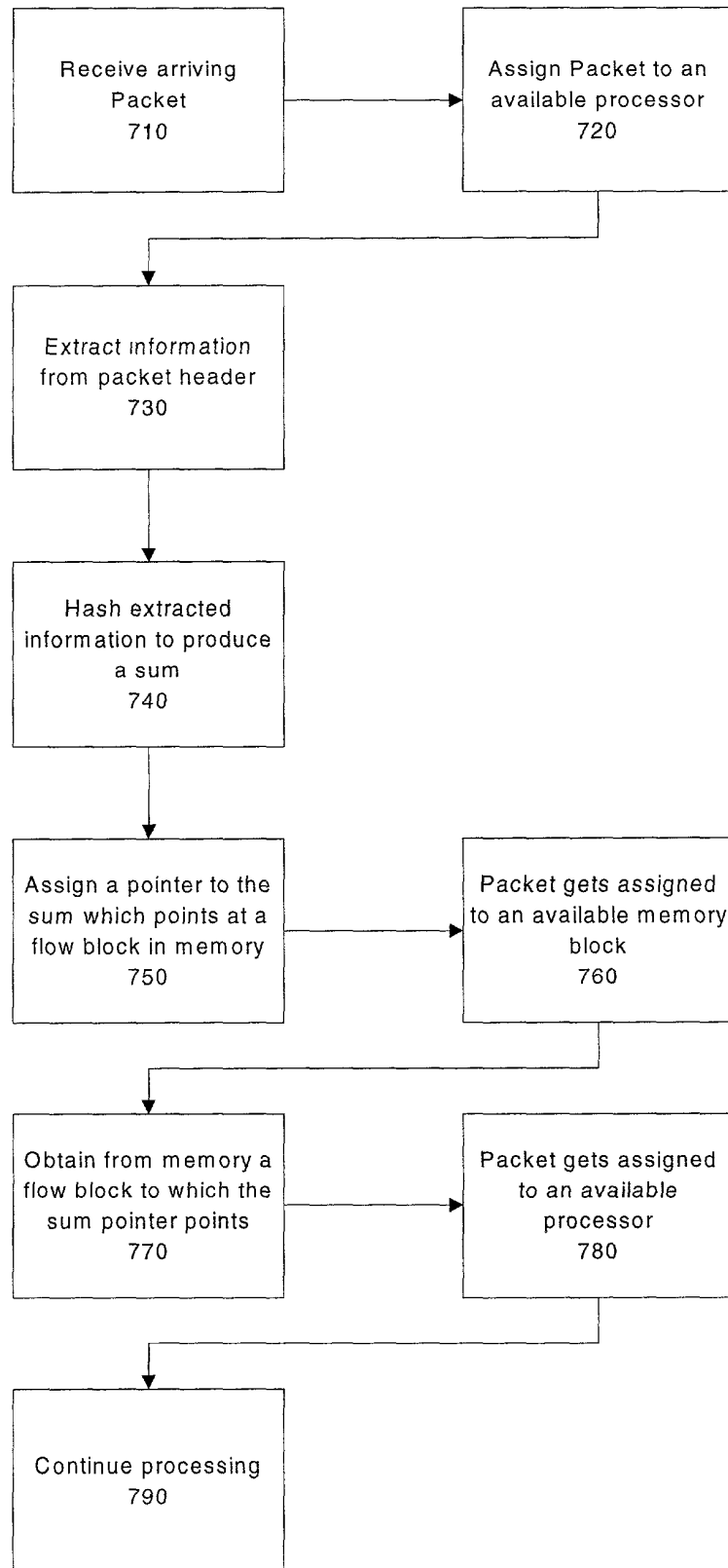


Figure 9

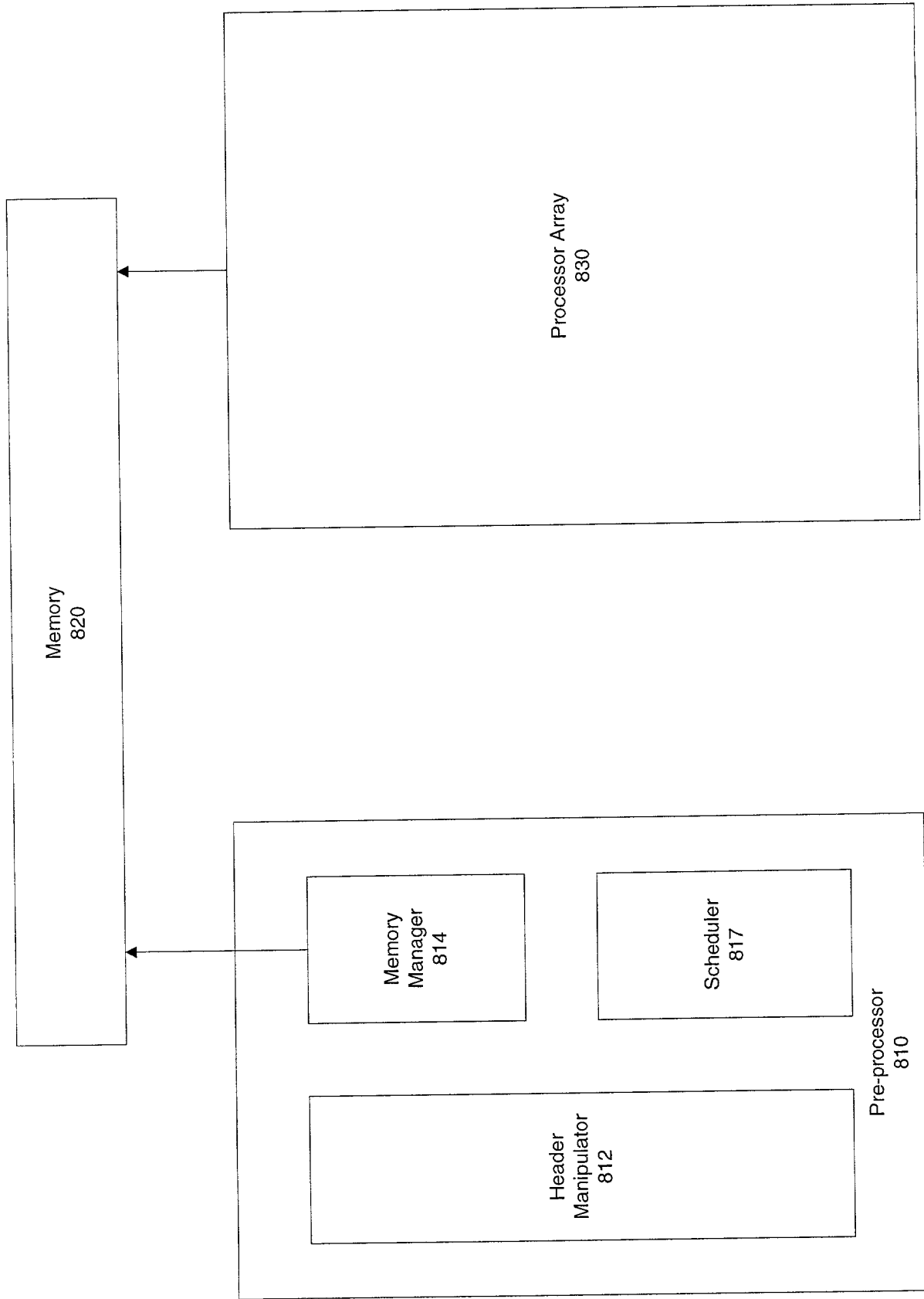


Figure 10

0010/PTO Rev. 6/95 U.S. Department of Commerce Patent and Trademark Office DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing	Attorney Docket Number	4786
	First Named Inventor	Lawrence G. Roberts
	COMPLETE IF KNOWN	
	Application Number	
	Filing Date	
	Group Art Unit	
	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PARALLEL NETWORK PROCESSOR ARRAY

the specification of which

(Title of the Invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) [] as United States Application Number or PCT International Application Number [] and was amended on (MM/DD/YYYY) [] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations. § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority	Certified Copy Attached?	
			Not Claimed	YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental sheet attached hereto.

DECLARATION	Page 2
-------------	--------

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
Albert C. Smith Deepti Panchawagh-Jain	20,355 43,846	Rajiv P. Patel	39,327

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to:

Deepti Panchawagh-Jain
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
U.S.A.

Telephone	(650) 858-7676	Fax	(650) 494-1417
-----------	----------------	-----	----------------

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:	<input type="checkbox"/> A petition has been filed for this unsigned inventor
--	---

Given Name	Lawrence	Middle Initial	G.	Family Name	Roberts	Suffix e.g. Jr.
------------	-----------------	----------------	-----------	-------------	----------------	--------------------

Inventor's Signature		Date	
----------------------	--	------	--

Residence: City		State	CA	Country	USA	Citizenship	USA
-----------------	--	-------	----	---------	-----	-------------	-----

Mailing Address	
-----------------	--

Mailing Address	
-----------------	--

City		State	CA	Zip		Country	USA
------	--	-------	----	-----	--	---------	-----

☐ Additional inventors are being named on supplemental sheet(s) attached hereto